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JUL 5- 2006

Practitioner's Docket No.

2001IT301

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Aldo BANFI

Examiner:

Application No.: 10/580,145

Group No.:

Filed:

05/18/2006

For:

PROCESS FOR PREPARING OXCARBAZEPINE

Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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Applicant: Aldo BANFI
Serial No. 10/580,145
Filed 05/18/2006
Page 2

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1.		Enclosures accompanying this Information Disclosure Statement are: 1a.
2.		This Information Disclosure Statement Is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3 .	Ø	This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
i .		This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5.		This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
3.		This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7.		The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
3.		In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,

Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Ciarlant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205

Telephone:

704/331-7156, Facsimile:

704/331-7707

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40.			:	Application Number	10/580,145		
IN	NFORMATION DISC	CL C	SURE	Filing Date	05/18/2006		
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	(Use as many sheets as ne	essary	U	Examiner Name			
Sheet	1	of	2	Attorney Docket Number	2004IT301		

Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ^{2 (f known)}		<u> </u>	Figures Appear
	AA	^{US-} 3,642,775	02/15/1973	SCHINDLER	
	AB	US- 5,808,058	09/15/1998	MILANESE	
	AC	^{US-} 20,030,105,076	06/05/2003	ANSARI	
	AD	US- 2,003,032,800	02/13/2003	FUENFSCHILLING	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ⁸ Number ⁴ Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	τª	
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Institution is attaction. This collection is required by 37 CFR 1,97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1440/10	Application Number	10/580,145				
INFORMATION DISCLOSURE	Filing Date	05/18/2006				
STATEMENT BY APPLICANT	First Named Inventor	Aldo BANFI				
	Art Unit					
(Use as many sheets as necessary)	Examiner Name					
Sheet 2 of 2	Attorney Docket Number	2004IT301				

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	CA	English language of Hungarian Patent No. HU63389, 08/30/1993, Ferenc HAASZ, et al., Procedure prepn. of 5-carbamoyi-10-oxo-10,11,dihydro-5H-dibenz[b,f]azepine	
	СВ	HEINER, E. et al., "Triphosgene, a Crystalline Phosgene Substitute", Angew. Chem. Int. Ed. Engl, 26 (1987), No. 9.(Abstract and Page 895)	
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